

# PATENT COOPERATION TREATY

From the  
INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

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**PCT**

## NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

02 DEC 2002

Applicant's or agent's file reference  IGT1P042.WO		Date of Mailing (day/month/year)  IMPORTANT NOTIFICATION	
International application No.  PCT/US01/32368	International filing date (day/month/year)  15 October 2001 (15.10.2001)	Priority date (day/month/year)  19 October 2000 (19.10.2000)	
Applicant  INTERNATIONAL GAME TECHNOLOGY			

1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.
4. **REMINDER**

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US  Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231  Facsimile No. (703)305-3230	Authorized officer  M. Sager  Telephone No. 703-308-0858	Signature of Shelia Veney Shelia Veney Paralegal Specialist Group 3700
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# PATENT COOPERATION TREATY

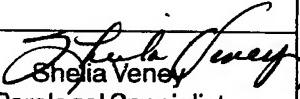
## PCT

### INTERNATIONAL PRELIMINARY EXAMINATION REPORT

**(PCT Article 36 and Rule 70)**

Applicant's or agent's file reference <b>IGT1P042.WO</b>	<b>FOR FURTHER ACTION</b>	See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416)
International application No. <b>PCT/US01/32368</b>	International filing date ( <i>day/month/year</i> ) <b>15 October 2001 (15.10.2001)</b>	Priority date ( <i>day/month/year</i> ) <b>19 October 2000 (19.10.2000)</b>
International Patent Classification (IPC) or national classification and IPC <b>IPC(7): A63F 9/24; G07F 17/32 and US Cl.: 463/42</b>		
Applicant <b>INTERNATIONAL GAME TECHNOLOGY</b>		

<p>1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.</p> <p>2. This REPORT consists of a total of <u>4</u> sheets, including this cover sheet.</p> <p><input type="checkbox"/> This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).</p> <p>These annexes consist of a total of <u>0</u> sheets.</p>	
<p>3. This report contains indications relating to the following items:</p> <ul style="list-style-type: none"> <li>I <input checked="" type="checkbox"/> Basis of the report</li> <li>II <input type="checkbox"/> Priority</li> <li>III <input checked="" type="checkbox"/> Non-establishment of report with regard to novelty, inventive step and industrial applicability</li> <li>IV <input type="checkbox"/> Lack of unity of invention</li> <li>V <input checked="" type="checkbox"/> Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement</li> <li>VI <input type="checkbox"/> Certain documents cited</li> <li>VII <input type="checkbox"/> Certain defects in the international application</li> <li>VIII <input type="checkbox"/> Certain observations on the international application</li> </ul>	

Date of submission of the demand <b>03 May 2002 (03.05.2002)</b>	Date of completion of this report <b>19 November 2002 (19.11.2002)</b>
Name and mailing address of the IPEA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703)305-3230	Authorized officer  <b>Shelia Sager</b> Paralegal Specialist Group 3700 Telephone No. 703-308-0858

**INTERNATIONAL PRELIMINARY EXAMINATION REPORT**

International application No.

PCT/US01/32368

**III. Non-establishment of opinion with regard to novelty, inventive step and industrial applicability**

1. The question whether the claimed invention appears to be novel, to involve an inventive step (to be non-obvious), or to be industrially applicable have not been and will not be examined in respect of:

- the entire international application,  
 claims Nos. 15-47

because:

- the said international application, or the said claim Nos. \_\_\_\_\_ relate to the following subject matter which does not require international preliminary examination (*specify*):
- the description, claims or drawings (*indicate particular elements below*) or said claims Nos. \_\_\_\_\_ are so unclear that no meaningful opinion could be formed (*specify*):
- the claims, or said claims Nos. \_\_\_\_\_ are so inadequately supported by the description that no meaningful opinion could be formed.  
 no international search report has been established for said claims Nos. 15-47

2. A meaningful international preliminary examination cannot be carried out due to the failure of the nucleotide and/or amino acid sequence listing to comply with the standard provided for in Annex C of the Administrative Instructions:

- the written form has not been furnished or does not comply with the standard.  
 the computer readable form has not been furnished or does not comply with the standard.

**INTERNATIONAL PRELIMINARY EXAMINATION REPORT**International application No.  
PCT/US01/32368**V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement****1. STATEMENT**

Novelty (N)	Claims <u>2 and 9-14</u>	YES
	Claims <u>1 and 3-8</u>	NO
Inventive Step (IS)	Claims <u>NONE</u>	YES
	Claims <u>1-14</u>	NO
Industrial Applicability (IA)	Claims <u>1-14</u>	YES
	Claims <u>NONE</u>	NO

**2. CITATIONS AND EXPLANATIONS**

Claims 1 and 3-8 lacks novelty under PCT Article 33(2) as being anticipated by Pease (5759102).

Claims 2 lacks an inventive step under PCT Article 33(3) as being obvious over Pease in view of Johnson (5923885). Pease discloses the invention but lacks firewall. However it is known as admitted by background disclosure remote gaming (1:16-4:23) with a plurality of entities. Specifically, to protect sensitive data in a network a firewall is used for increasing security or to protect the data. Johnson discloses method or system using a firewall to protect sensitive data in a network. Therefore, it would have been obvious to a routineer to add firewall as known and disclosed by Johnson to Pease's game to protect sensitive data.

Claims 9-14 lacks an inventive step under PCT Article 33(3) as being obvious over Pease in view of Brown (5921947) and Boushy (5761647). Pease discloses the invention but lacks the plurality of entities and the privileges claimed therein. However, it is known for a plurality of entities to own terminals and software such as affiliates and for remote gaming (1:16-4:23). Boushy further demonstrates a plurality of entities owning terminals and software components which inherently have privileges. Also, Brown teaches entities and the privileges in a network to permit access for entities with appropriate privileges while protecting data therein. Therefore, it would have been obvious to a routineer at a time prior to the invention to add the plurality of entities and privileges as claimed as suggested by Boushy in conjunction with Brown to Pease game system and method to permit access while protecting data therein.

Claims 1-14 meet the criteria set out in PCT Article 33(4), because the prior art has industrial applicability as gaming terminal data repository.

**----- NEW CITATIONS -----**

US 5,761,647 A (BOUSHY) 02 June 1998, 2:5-3:30, figs 1-12.  
US 5,923,885 A (JOHNSON et al) 13 July 1999, 5:66-6:32.